

# ZEROS OF HOLOMORPHIC ONE-FORMS AND TOPOLOGY OF KÄHLER MANIFOLDS

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APPENDIX WRITTEN JOINTLY WITH HSUEH-YUNG LIN

ABSTRACT. A conjecture of Kotschick predicts that a compact Kähler manifold  $X$  fibres smoothly over the circle if and only if it admits a holomorphic one-form without zeros. In this paper we develop an approach to this conjecture and verify it in dimension two. In a joint paper with Hao [HS19], we use our approach to prove Kotschick's conjecture for smooth projective threefolds.

## 1. INTRODUCTION

This paper is motivated by the following conjecture of Kotschick [Ko13].

**Conjecture 1.1.** *For a compact Kähler manifold  $X$ , the following are equivalent.*

- (A)  $X$  admits a holomorphic one-form without zeros;
- (B)  $X$  admits a real closed 1-form without zeros; or, by Tischler's theorem [Ti70] equivalently, the underlying differentiable manifold is a  $C^\infty$ -fibre bundle over the circle.

The implication (A)  $\Rightarrow$  (B) is clear; the possibility of the converse implication (B)  $\Rightarrow$  (A) is asked in [Ko13]. Condition (B) is equivalent to asking that the smooth manifold that underlies  $X$  is a quotient  $M \times [0, 1] / \sim$ , where  $M$  is a closed real manifold of odd dimension and  $M \times 0$  is identified with  $M \times 1$  via some diffeomorphism of  $M$ . Kotschick's conjecture relates this purely topological condition with the complex geometric condition that  $X$  has a holomorphic one-form without zeros.

The purpose of this paper is to related Kotschick's conjecture to the following condition

- (C) there is a holomorphic one-form  $\omega \in H^0(X, \Omega_X^1)$ , such that for any finite étale cover  $\tau : X' \rightarrow X$ , the sequence

$$H^{i-1}(X', \mathbb{C}) \xrightarrow{\wedge \omega'} H^i(X', \mathbb{C}) \xrightarrow{\wedge \omega'} H^{i+1}(X', \mathbb{C}),$$

given by cup product with  $\omega' := \tau^* \omega$ , is exact for all  $i$ .

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*Date:* November 4, 2019.

*2010 Mathematics Subject Classification.* primary 14F45, 32Q15, 32Q55; secondary 14F17.

*Key words and phrases.* Topology of algebraic varieties, one-forms, local systems, generic vanishing.

This is motivated by a theorem of Green and Lazarsfeld [GL87, Proposition 3.4], who proved the implication (A)  $\Rightarrow$  (C). Our first result is the following, which in view of Green and Lazarsfeld's theorem yields some positive evidence for Conjecture 1.1.

**Theorem 1.2.** *For any compact Kähler manifold  $X$ , we have (B)  $\Rightarrow$  (C).*

By the above theorem, in order to prove Kotschick's conjecture, it would be enough to show that (C) implies (A). Compared to the original implication (B)  $\Rightarrow$  (A), this has the major advantage that (C) and (A) are complex geometric conditions, while (B) is not. More precisely, it is natural to wonder whether a one-form  $\omega \in H^0(X, \Omega_X^1)$  which satisfies condition (C) must be without zeros. This would have the remarkable implication that the question whether  $\omega$  has zeros depends only on the de Rham class of  $\omega$  and the homotopy type of  $X$ . We show that this is true for surfaces.

**Theorem 1.3.** *Let  $X$  be a compact Kähler surface. If  $\omega \in H^0(X, \Omega_X^1)$  satisfies condition (C), then it has no zeros. In particular, Conjecture 1.1 holds for compact Kähler surfaces.*

The proof of Theorem 1.3 uses classification of surfaces. In the Appendix to this paper, written jointly with Lin, we give however a more general and direct argument which does not rely on classification results, see Theorem A.1 below.

In joint work with Hao [HS19], we use the approach developed here to prove Conjecture 1.1 for smooth projective threefolds.

The following theorem proves some partial results in arbitrary dimension.

**Theorem 1.4.** *Let  $X$  be a compact connected Kähler manifold with a holomorphic one-form  $\omega$  such that the complex  $(H^*(X, \mathbb{C}), \wedge \omega)$  given by cup product with  $\omega$  is exact. Then the analytic space  $Z(\omega)$  given by the zeros of  $\omega \in H^0(X, \Omega_X^1)$  has the following properties.*

(1) *For any connected component  $Z \subset Z(\omega)$  with  $d = \dim Z$ ,*

$$H^d(Z, \omega_X|_Z) = 0.$$

*In particular,  $\omega$  does not have any isolated zero.*

(2) *If  $f : X \rightarrow A$  is a holomorphic map to a complex torus  $A$  such that  $\omega \in f^*H^0(A, \Omega_A^1)$ , then  $f(X) \subset A$  is fibred by tori.*

Ein and Lazarsfeld [EL97, Theorem 3] showed that the image of a morphism  $f : X \rightarrow A$  to a complex torus  $A$  is fibred by tori if  $\chi(X, \omega_X) = 0$  and  $\dim f(X) = \dim X$ . In item (2) above we obtain the same conclusion without any assumption on  $f$ , but where we replace  $\chi(X, \omega_X) = 0$  by the stronger condition on the exactness of  $(H^*(X, \mathbb{C}), \wedge \omega)$ .

Theorem 1.2 and item (2) in the above theorem imply for instance that a Kähler manifold  $X$  with simple Albanese torus  $\text{Alb}(X)$  and with  $b_1(X) > 2 \dim(X)$  does not admit a  $C^\infty$ -fibration over the circle. Similarly, we obtain the following corollary in the projective case.

**Corollary 1.5.** *Let  $X$  be a smooth complex projective variety such the manifold which underlies  $X$  fibres smoothly over the circle. Then there is a surjective holomorphic morphism  $f : X \rightarrow A$  to a positive-dimensional abelian variety  $A$ .*

The following example of Debarre, Jiang and Lahoz shows that the étale covers in condition (C) are necessary to make Theorem 1.3 true.

**Example 1.6** ([DJL17, Example 1.11]). *Let  $C_1, C_2$  be smooth projective curves with  $g(C_1) > 1$  and  $g(C_2) = 1$  and automorphisms  $\varphi_i \in \text{Aut}(C_i)$  of order two such that  $C_i/\varphi_i$  has genus one for  $i = 1, 2$ . Then the quotient*

$$X := (C_1 \times C_2)/(\varphi_1 \times \varphi_2)$$

*has the same rational cohomology ring as an abelian surface, and so  $\wedge \omega$  is exact on cohomology for any non-zero  $\omega \in H^0(X, \Omega_X^1)$ . However, if  $\omega$  is obtained as pullback via the map  $\pi : X \rightarrow C_1/\varphi_1$ , then it vanishes along the multiple fibres of  $\pi$ , which lie above the branch points of  $C_1 \rightarrow C_1/\varphi_1$ .*

**Remark 1.7.** *This paper raises the question whether condition (C) implies (A). In view of [GL87, Proposition 3.4] it is natural to wonder whether more generally, a holomorphic one-form  $\omega \in H^0(X, \Omega_X^1)$  such that for any finite étale cover  $\tau : X' \rightarrow X$*

$$H^{i-1}(X', \mathbb{C}) \xrightarrow{\wedge \tau^* \omega} H^i(X', \mathbb{C}) \xrightarrow{\wedge \tau^* \omega} H^{i+1}(X', \mathbb{C})$$

*is exact for all  $i < c$  implies that  $\text{codim}_X(Z(\omega)) \geq c$ . This goes back to [BWY16], where it is asked whether equality always holds in [BWY16, Theorem 1.1]. However, blowing-up a point in  $Z(\omega)$  easily produces counterexamples to this conjecture.*

**Why the Kähler assumption?** The Kähler assumption in Conjecture 1.1 is essential. For instance, a Hopf surface  $X$  is a compact complex surface with  $H^0(X, \Omega_X^1) = 0$ , whose underlying differentiable manifold is diffeomorphic to  $S^1 \times S^3$ , and so it satisfies (B) but not (A).

**Acknowledgement.** I am grateful to Dieter Kotschick for sending me the preprint [Ko13] in spring 2013, where he poses the problem about the equivalence of (A) and (B) in Conjecture 1.1. I am also grateful to Rui Coelho, Feng Hao, Dieter Kotschick and Anand Sawant for useful conversations and to Hsueh-Yung Lin, Mihnea Popa, Christian Schnell and Botong Wang for useful comments. This work is supported by the DFG Grant “Topologische Eigenschaften von Algebraischen Varietäten” (project no. 416054549).

**Notation.** For a holomorphic one-form  $\omega$  on a Kähler manifold  $X$ , we denote by  $Z(\omega)$  the (possibly non-reduced) analytic space given by the zeros of  $\omega$ , viewed as a section of the vector bundle  $\Omega_X^1$ .

## 2. PROOF OF THEOREM 1.2

Let  $X$  be a smooth connected manifold. We denote by  $\text{Loc}(X)$  the group of local systems on  $X$  whose stalks are one-dimensional  $\mathbb{C}$ -vector spaces. Since local systems on the interval are trivial, the choice of a base point  $s \in S^1$  induces a canonical isomorphism  $\text{Loc}(S^1) \cong \mathbb{C}^*$ . Hence, if we fix a base point  $x \in X$ , then for any  $L \in \text{Loc}(X)$ , any continuous map  $\gamma : S^1 \rightarrow X$  with  $\gamma(s) = x$  yields a canonical element  $\gamma^*L \in \text{Loc}(S^1) \cong \mathbb{C}^*$ , which, as one checks, depends only on the homotopy class of  $\gamma$ . This construction gives rise to the so called monodromy representation, which (since  $X$  is connected) induces an isomorphism between  $\text{Loc}(X)$  and the character variety

$$\text{Char}(X) := \text{Hom}(\pi_1(X, x), \mathbb{C}^*) \cong H^1(X, \mathbb{C}^*).$$

If  $L \in \text{Loc}(X)$ , then the associated complex line bundle has locally constant transition functions, hence it admits a flat connection and so the first Chern class  $c_1(L)$  must be torsion. The long exact sequence associated to the short exact sequence  $0 \rightarrow \mathbb{Z} \rightarrow \mathbb{C} \rightarrow \mathbb{C}^* \rightarrow 0$  of locally constant sheaves on  $X$  thus shows that  $\text{Loc}(X)$  is isomorphic to an extension of a finite group by the connected subgroup  $\text{Loc}^0(X) \subset \text{Loc}(X)$  which contains the trivial local system. Moreover,

$$\text{Loc}^0(X) \cong \frac{H^1(X, \mathbb{C})}{H^1(X, \mathbb{Z})} \cong (\mathbb{C}^*)^{b_1(X)}.$$

coincides with the subgroup  $\{L \in \text{Loc}(X) \mid c_1(L) = 0\}$ .

**2.1. Local systems associated to closed 1-forms and Novikov's inequality.** If  $\alpha$  is a closed complex valued 1-form on  $X$ , then we can construct a local system  $L(\alpha) \in \text{Loc}^0(X)$  as follows. Consider the twisted de Rham complex  $(\mathcal{A}_{X, \mathbb{C}}^*, d + \wedge \alpha)$ , where  $\mathcal{A}_{X, \mathbb{C}}^k$  denotes the sheaf of complex valued  $C^\infty$ -differential  $k$ -forms on  $X$ , and where  $\wedge \alpha$  acts on a  $k$ -form  $\beta$  via  $\beta \mapsto \alpha \wedge \beta$ . There is an open covering  $\mathcal{U} = \{U_i\}_{i \in I}$  of  $X$  such that  $\alpha|_{U_i} = dg_i$  for some smooth function  $g_i$  on  $U_i$ . For a  $k$ -form  $\beta$  on  $U_i$ , we then have  $(d + \wedge \alpha)(\beta) = 0$  if and only if  $d(e^{g_i} \beta) = 0$ . This shows that the twisted de Rham complex  $(\mathcal{A}_{X, \mathbb{C}}^*, d + \wedge \alpha)$  is exact in positive degrees and it resolves a sheaf  $L(\alpha)$  whose sections above  $U_i$  are given by all smooth functions  $f$  with  $d(e^{g_i} f) = 0$ , i.e.  $f = e^{-g_i} c$  for some constant  $c \in \mathbb{C}$ . Hence,  $L(\alpha) \in \text{Loc}(X)$  is a local system with stalk  $\mathbb{C}$  on  $X$ . Moreover,  $c_1(L(\alpha)) = 0$  because the cocycle  $(g_i - g_j) \in \check{C}^1(\mathcal{U}, (\mathcal{A}_X^0)^\times)$  maps to zero in  $H^2(X, \mathbb{Z})$  and so

$$L(\alpha) \in \text{Loc}^0(X), \tag{1}$$

as we want.

Since  $L(\alpha)$  is resolved by the  $\Gamma$ -acyclic complex  $(\mathcal{A}_{X,\mathbb{C}}^*, d + \wedge\alpha)$ , we find that

$$H^k(X, L(\alpha)) = H^k((A^*(X, \mathbb{C}), d + \wedge\alpha)), \quad (2)$$

where  $A^k(X, \mathbb{C}) = \Gamma(X, \mathcal{A}_{X,\mathbb{C}}^k)$ . In view of (2), we can define the Novikov Betti numbers  $b_i(\alpha)$  of  $\alpha$  as follows, cf. [Pa87] or [Fa04]:

$$b_k(\alpha) := \dim_{\mathbb{C}} H^k(X, L(\alpha)).$$

A closed 1-form  $\alpha$  on  $X$  is Morse if locally at each zero  $x \in Z(\alpha)$  of  $\alpha$ ,  $\alpha = dh$  for some Morse function  $h$ . If  $\alpha$  is Morse, its Morse index at a zero  $x$  is defined as the Morse index of  $h$  and we denote by  $m_i(\alpha)$  the number of zeros of  $\alpha$  of Morse index  $i$ . The Novikov inequalities then state the following, see [Pa87, Theorem 1]:

**Theorem 2.1** (Novikov's inequalities). *Let  $X$  be a closed manifold and let  $\alpha$  be a closed 1-form on  $X$ . Suppose that  $\alpha$  is Morse in the above sense. Then for sufficiently large  $t \in \mathbb{R}$ ,  $m_i(\alpha) \geq b_i(t\alpha)$ . In particular, if  $\alpha$  has no zeros, then for  $t \gg 0$ ,*

$$H^i(X, L(t\alpha)) = 0 \quad \text{for all } i.$$

**2.2. Local systems associated to holomorphic 1-forms.** Let now  $X$  be a compact Kähler manifold. For any holomorphic 1-form  $\omega$  on  $X$ ,  $\omega$  is closed and so we get a local system  $L(\omega)$  as above. This induces a short exact sequence

$$0 \longrightarrow H^0(X, \Omega_X^1) \longrightarrow \text{Loc}^0(X) \longrightarrow \text{Pic}^0(X) \longrightarrow 0, \quad (3)$$

where  $\text{Loc}^0(X) \rightarrow \text{Pic}^0(X)$  is given by  $L \mapsto L \otimes_{\mathbb{C}} \mathcal{O}_X$ .

**Lemma 2.2.** *Let  $X$  be a compact Kähler manifold and let  $\omega \in H^0(X, \Omega_X^1)$  be a holomorphic 1-form. Let  $c \in \mathbb{Z} \cup \{\infty\}$  be maximal such that*

$$H^{i-1}(X, \mathbb{C}) \xrightarrow{\wedge\omega} H^i(X, \mathbb{C}) \xrightarrow{\wedge\omega} H^{i+1}(X, \mathbb{C})$$

*is exact for all  $i < c$ . Then the local system  $L(\omega)$  associated to  $\omega$  satisfies  $H^i(X, L(\omega)) = 0$  for all  $i < c$ . Moreover, if  $c \neq \infty$ , then  $H^c(X, L(\omega)) \neq 0$ .*

*Proof.* The local system  $L(\omega)$  is resolved by the following complex

$$(\Omega_X^*, \partial + \wedge\omega) := 0 \longrightarrow \Omega_X^0 \xrightarrow{\partial + \wedge\omega} \Omega_X^1 \xrightarrow{\partial + \wedge\omega} \dots \Omega_X^{n-1} \xrightarrow{\partial + \wedge\omega} \Omega_X^n \longrightarrow 0.$$

To see that this complex is exact in positive degrees, one uses that locally  $\omega = dh$  and so for any local holomorphic form  $\beta$ , we have  $de^h\beta = e^h(d\beta + dh \wedge \beta)$  and so  $\partial\beta + \omega \wedge \beta = 0$  if and only if  $de^h\beta = 0$  and we can use the holomorphic Poincaré lemma to prove the claim. Hence,

$$H^i(X, L(\omega)) = \mathbb{H}^i(X, (\Omega_X^*, \partial + \wedge\omega)).$$

There is a spectral sequence

$$'E_1^{p,q} := H^p(X, \Omega_X^q) \Rightarrow \mathbb{H}^{p+q}(X, (\Omega_X^*, \partial + \wedge\omega)).$$

The differential  $d_1 : 'E_1^{p,q} \rightarrow 'E_1^{p,q+1}$  is induced by  $\partial + \wedge\omega$ . Since  $\partial$  acts trivially on  $'E_1^{p,q} := H^p(X, \Omega_X^q)$ , we find that  $d_1 = \wedge\omega$ . It thus follows from [GL87, Proposition 3.7] that the above spectral sequence degenerates at the second page, i.e.  $'E_2 = 'E_\infty$ .

Our assumption implies  $'E_2^{p,q} = 0$  for  $p + q < c$  and so  $H^i(X, L(\omega)) = 0$  for  $i < c$ . Let us now assume  $c \neq \infty$ . By the definition of  $c$ ,

$$H^{c-1}(X, \mathbb{C}) \xrightarrow{\wedge\omega} H^c(X, \mathbb{C}) \xrightarrow{\wedge\omega} H^{c+1}(X, \mathbb{C})$$

is not exact. Since  $\omega \in H^{1,0}(X)$  is of type  $(1,0)$ , the above complex respects the Hodge decomposition and so we find that there must be some  $j$  such that

$$H^{j-1, c-j}(X) \xrightarrow{\wedge\omega} H^{j, c-j}(X) \xrightarrow{\wedge\omega} H^{j+1, c-j}(X)$$

is not exact. Hence  $'E_2^{j, c-j} \neq 0$ . Since  $'E_2^{j, c-j} = 'E_\infty^{j, c-j}$ , we get  $H^c(X, L(\omega)) \neq 0$ , as we want. This concludes the lemma.  $\square$

**2.3. Proof of Theorem 1.2.** Let  $X$  be a compact Kähler manifold which admits a real closed one-form  $\alpha$  without zeros, i.e. condition (B) in Conjecture 1.1 holds. Since the pullback of  $\alpha$  via a finite étale cover is again a real closed one-form without zeros, in order to prove (C), it suffices to show that  $X$  carries a holomorphic one-form  $\omega$  such that  $\wedge\omega$  is exact on cohomology. For this, we may without loss of generality assume that  $X$  is connected.

Since  $\alpha$  has no zero on  $X$ , Theorem 2.1 implies that there is a local system  $L \in \text{Loc}^0(X)$  that has no cohomology. By the generic vanishing theorems [GL87, GL91, Ar92, Si93], the locus of those local systems that have some cohomology are subtori, translated by torsion points, see [Wa16, Theorem 1.3]. It follows that for general  $\omega \in H^0(X, \Omega_X^1)$ , the local system  $L(\omega)$  has no cohomology. It thus follows from Lemma 2.2 that

$$H^{i-1}(X, \mathbb{C}) \xrightarrow{\wedge\omega} H^i(X, \mathbb{C}) \xrightarrow{\wedge\omega} H^{i+1}(X, \mathbb{C})$$

is exact for all  $i$ , as we want. This finishes the proof of Theorem 1.2.

**Remark 2.3.** *Botong Wang points out that one can bypass the use of Theorem 2.1 in the above argument by showing directly that if  $X$  is a  $C^\infty$ -fibre bundle over the circle, then the pullback of a general local system on the circle has no cohomology on  $X$ .*

**Remark 2.4.** *Let  $X$  be a compact connected Kähler manifold. As we have used above, the results in [GL87] imply that  $(H^*(X, \mathbb{C}), \wedge\omega)$  is exact if and only if  $L(\omega)$  has no cohomology. The locus of such local systems is well understood by generic vanishing theory. In particular, [Wa16, Theorem 1.3] implies that the locus of those holomorphic*

one-forms  $\omega \in H^0(X, \Omega_X^1)$  for which  $(H^*(X, \mathbb{C}), \wedge\omega)$  is not exact is a finite union of linear subspaces of the form  $f_i^* H^0(T_i, \Omega_{T_i}^1)$ , where  $f_i : X \rightarrow T_i$  is a finite collection of holomorphic maps to complex tori  $T_i$ . As a special case we see that if there is one holomorphic one-form  $\omega$  on  $X$  which makes  $(H^*(X, \mathbb{C}), \wedge\omega)$  exact, then this holds for all forms in a non-empty Zariski open subset of  $H^0(X, \Omega_X^1)$ .

### 3. THE CASE OF SURFACES

*Proof of Theorem 1.3.* Let  $X$  be a compact Kähler surface with a one-form  $\omega \in H^0(X, \Omega_X^1)$  such that for any finite étale cover  $\tau : X' \rightarrow X$ ,

$$H^{i-1}(X', \mathbb{C}) \xrightarrow{\wedge\omega'} H^i(X', \mathbb{C}) \xrightarrow{\wedge\omega'} H^{i+1}(X', \mathbb{C}) \quad (4)$$

is exact for all  $i$ , where  $\omega' := \tau^*\omega$ . This implies  $\chi(X, \Omega_X^p) = 0$  for all  $p$  and so  $c_2(X) = 0$ .

Replacing  $X$  by its connected components, we may without loss of generality assume that  $X$  is connected. The classification of surfaces (see [BHPV04, Chapter VI.1]) thus shows that only the following cases occur.

**Case 1.**  $X$  is birational to a ruled surface over a curve  $C$  of positive genus.

**Case 2.**  $X$  is a minimal bi-elliptic surface or a complex 2-torus.

**Case 3.**  $X$  is a minimal properly elliptic surface.

In Case 1, exactness of (4) implies that  $X$  is birational to a ruled surface over an elliptic curve  $C$ . This implies  $b_1(X) = 2$ . Since  $e(X) = 0$ , we conclude  $b_2(X) = 2$  and so  $X$  is a minimal ruled surface over an elliptic curve. In particular, since  $\omega$  is nonzero, it must be a holomorphic one-form without zeros.

In Case 2, any nontrivial holomorphic one-form on  $X$  has no zeros and so we are done because exactness of (4) implies  $\omega \neq 0$ , as before.

In Case 3, the condition  $c_2(X) = 0$  implies by [BHPV04, Proposition III.11.4] that  $X$  admits a fibration  $\pi : X \rightarrow C$  to a curve  $C$  such that the reduction of any fibre of  $\pi$  is isomorphic to a smooth elliptic curve, but where multiple fibres are allowed. Let  $F$  be a general fibre of  $\pi : X \rightarrow C$ . Suppose for the moment that the one-form  $\omega$  restricts to a nonzero form on  $F$ . In particular, the Albanese map  $a : X \rightarrow \text{Alb}(X)$  does not contract  $F$  and the reduction of any fibre of  $a$  is isomorphic to  $F$ . Moreover, the restriction of  $\omega$  to  $F$  does not depend on the fibre and so it is nonzero everywhere. That is,  $\omega$  has no zeros.

It remains to deal with the case where  $\omega$  restricts to zero on the fibres of  $\pi : X \rightarrow C$ . In this case,  $\omega = \pi^*\alpha$  for a one-form  $\alpha$  on  $C$ . Since cup product with  $\omega$  is exact,  $C$  must be an elliptic curve. If  $\pi$  is smooth, then  $\omega$  has no zeros. Otherwise,  $\omega$  vanishes along the multiple fibres of  $\pi$ . We may thus assume that  $\pi$  has at least one multiple fibre.

The multiple fibres of  $\pi$  give rise to a orbifold structure on  $C$ . Since  $C$  is an elliptic curve, this orbifold is good and so there is a finite orbifold covering  $C' \rightarrow C$  such that

the orbifold structure on  $C'$  is trivial, see e.g. [CHK00, Corollary 2.29]. Let  $X'$  be the normalization of the base change  $X \times_C C'$ . Then,  $X'$  is a smooth surface,  $X' \rightarrow X$  is étale and  $X' \rightarrow C'$  is an elliptic surface without singular fibres, see e.g. [BHPV04, Proposition III.9.1]. Since  $\tau : X' \rightarrow X$  is finite étale,  $(H^*(X', \mathbb{C}), \wedge \omega')$  is exact for  $\omega' := \tau^* \omega$  by assumptions. On the other hand, since  $\pi$  has singular fibres,  $C' \rightarrow C$  is a branched covering with nontrivial branch locus and so  $C'$  is a curve of genus  $\geq 2$ . This is a contradiction, because  $\omega'$  is a pullback of a one-form from  $C'$ . This finishes the proof of Theorem 1.3.  $\square$

**Corollary 3.1.** *Let  $X$  be a compact connected Kähler surface with a holomorphic one-form  $\omega$  such that  $(H^*(X, \mathbb{C}), \wedge \omega)$  is exact. Then  $\omega$  has no zeros and  $(X, \omega)$  is given by one of the following:*

- (a)  $X$  is a minimal ruled surface over an elliptic curve;
- (b)  $X$  is a complex 2-torus;
- (c)  $X$  is a minimal elliptic surface  $f : X \rightarrow C$  such that one of the following holds:
  - (i)  $f$  is smooth,  $C$  is an elliptic curve and  $\omega \in f^* H^0(C, \Omega_C^1)$ ;
  - (ii)  $f$  is quasi-smooth, i.e. all singular fibres are multiple fibres, and the restriction of  $\omega$  to the reduction of any fibre of  $f$  is nonzero.

*Proof.* The classification into types (a), (b) and (c) follows directly from the proof of Theorem 1.3, where we note that bi-elliptic surfaces fall in the class (ci). The fact that  $\omega$  has no zeros follows from this classification.  $\square$

**Corollary 3.2.** *In the notation of Corollary 3.1, assume that  $X$  is projective. Then,*

- (d)  $X$  admits a smooth morphism to a positive-dimensional abelian variety;
- (e) if  $\kappa(X) \geq 0$ , then there is a finite étale cover  $\tau : X' \rightarrow X$  which splits into a product  $X' = A' \times S'$ , where  $A'$  is a positive-dimensional abelian variety and  $S'$  is smooth projective.

*Proof.* Note that item (d) is clear in cases (a), (b) and (ci) of Corollary 3.1. It remains to deal with case (cii). In this case, since  $X$  and hence  $\text{Alb}(X)$  are projective,  $\text{Alb}(X)$  is isogeneous to  $E \times \text{Jac}(C)$ , where  $E$  is an elliptic curve which is isogeneous to the reduction of any fibre of  $f$ . It follows that there is a morphism  $g : X \rightarrow E$  which restricts to an isogeny on the reduction of each fibre of  $f : X \rightarrow C$ . Since  $\omega$  restricts non-trivially to the reduction of any fibre of  $f$ , the morphism  $g : X \rightarrow E$  must be smooth, as we want.

It clearly suffices to prove item (e) in the case (c) of Corollary 3.1. In this case, there is a finite étale cover  $X' \rightarrow X$ , such that  $\text{Alb}(X') \cong E \times \text{Jac}(C')$  for a smooth projective curve  $C'$  which maps finitely to  $C$ . Moreover, the Albanese map identifies  $X'$  to the product  $E \times C'$ , as we want. This concludes the corollary.  $\square$



## 4. PROOF OF THEOREM 1.4

4.1. **Preliminaries.** We will use the following lemma.

**Lemma 4.1.** *Let  $K^*$  be a bounded complex of sheaves on a manifold  $X$ . Let  $Z, Z' \subset X$  be closed subsets with  $Z \cap Z' = \emptyset$ , such that*

$$\text{supp } \mathcal{H}^i(K^*) \subset Z \cup Z'$$

for all  $i$ . Then the differentials  $d_r : E_r^{p,q} \rightarrow E_r^{p+r,q-r+1}$  in the spectral sequence

$$E_2^{p,q} = H^p(X, \mathcal{H}^q(K^*)) \Rightarrow \mathbb{H}^{p+q}(X, K^*)$$

respect the natural decompositions

$$E_2^{p,q} = H^p(Z, \mathcal{H}^q(K^*)|_Z) \oplus H^p(Z', \mathcal{H}^q(K^*)|_{Z'}).$$

*Proof.* Let  $i : Z \rightarrow X$  and  $j : Z' \rightarrow X$  be the inclusions. Then the natural map of complexes

$$K^* \rightarrow i_*i^{-1}K^* \oplus j_*j^{-1}K^*$$

is a quasi-isomorphism. This proves the lemma, because the spectral sequence depends only on the class of  $K^*$  in the derived category of sheaves on  $X$ .  $\square$

4.2. **Item (1) of Theorem 1.4.** Let  $X$  be a compact connected Kähler manifold and let  $\omega$  be a holomorphic one-form on  $X$  with associated local system  $L(\omega)$ . Recall the isomorphism

$$H^k(X, L(\omega)) \cong \mathbb{H}^k(X, (\Omega_X^*, \omega \wedge -)).$$

The above hypercohomology is computed by a spectral sequence with  $E_2$ -page

$$E_2^{p,q} := H^p(X, \mathcal{H}^q(K^*)) \Rightarrow H^{p+q}(X, L(\omega)), \quad (5)$$

where  $K^* := (\Omega_X^*, \omega \wedge -)$  and  $\mathcal{H}^q(K^*)$  denotes the  $q$ -th cohomology sheaf of that complex. In particular,  $\mathcal{H}^q(K^*) = 0$  if  $\omega \wedge -$  is exact on holomorphic  $q$ -forms and the latter holds if  $\omega$  has no zeros. More precisely, this shows that  $\mathcal{H}^q(K^*)$  are sheaves that are supported on the zero locus  $Z(\omega)$  of  $\omega$ .

**Lemma 4.2.** *We have  $\mathcal{H}^n(K^*) \cong \Omega_X^n|_Z$ .*

*Proof.* Locally  $\omega = \sum_{i=1}^n f_i dx_i$ . We are interested in the cokernel of

$$\Omega_X^{n-1} \rightarrow \Omega_X^n, \quad \alpha \mapsto \sum_{i=1}^n f_i dx_i \wedge \alpha.$$

The image of the above map is clearly spanned by  $f_i dx_1 \wedge \cdots \wedge dx_n$  with  $i = 1, \dots, n$ . Hence,  $\mathcal{H}^n(K^*)$  is the quotient of  $\Omega_X^n$  by the subsheaf  $I_Z \otimes_{\mathcal{O}_X} \Omega_X^n$ , where  $I_Z$  denotes the ideal sheaf of  $Z$ . Hence,

$$\mathcal{H}^n(K^*) \cong \Omega_X^n \otimes_{\mathcal{O}_X} \mathcal{O}_Z = \Omega_X^n|_Z.$$

This proves the lemma.  $\square$

*Proof of item (1) in Theorem 1.4.* Let  $Z \subset Z(\omega)$  be a connected component of the zero locus of  $\omega$ . Then we can write  $Z(\omega) = Z \cup Z'$ , where  $Z$  and  $Z'$  are disjoint closed subsets of  $X$ .

Consider the spectral sequence (5). By Lemma 4.2, we have

$$H^d(X, \Omega_X^n|_Z) \hookrightarrow E_2^{d,n}.$$

Using Lemma 4.1, one easily checks that this term survives on the infinity page and we get

$$H^d(X, \Omega_X^n|_Z) \hookrightarrow E_\infty^{d,n}.$$

By Lemma 2.2, exactness of  $(H^*(X, \mathbb{C}), \wedge \omega)$  implies  $H^i(X, L(\omega)) = 0$  for all  $i$ . Hence,  $E_\infty^{d,n} = 0$ , and so  $H^d(Z, \Omega_X^n|_Z) = 0$ , as we want.  $\square$

**Corollary 4.3.** *Let  $X$  be a compact Kähler manifold and let  $\omega \in H^0(X, \Omega_X^1)$  such that the complex  $(H^*(X, \mathbb{C}), \wedge \omega)$  given by cup product with  $\omega$  is exact. Let  $Z \subset Z(\omega)$  be a connected component of the zero locus of  $\omega$ , and let  $d = \dim Z$ . Then*

$$H^d(Z', \omega_X|_{Z'}) = 0,$$

for any irreducible component  $Z'$  of the reduced scheme  $Z^{\text{red}}$ .

*Proof.* Consider the long exact sequence, associated to the short exact sequence

$$0 \longrightarrow \omega_X|_Z \otimes \mathcal{I}_{Z'} \longrightarrow \omega_X|_Z \longrightarrow \omega_X|_{Z'} \longrightarrow 0.$$

By item (1),  $H^d(Z, \omega_X|_Z) = 0$ . Moreover,  $H^{d+1}(Z, \omega_X|_Z \otimes \mathcal{I}_{Z'}) = 0$  because of dimension reasons. This implies  $H^d(Z', \omega_X|_{Z'}) = 0$ , as we want.  $\square$

**Corollary 4.4.** *Let  $X$  be a compact Kähler manifold with a holomorphic map  $f : X \rightarrow A$  to a complex torus  $A$ . Let  $\omega \in H^0(A, \Omega_A^1)$  such that the complex  $(H^*(X, \mathbb{C}), \wedge f^*\omega)$  given by cup product with  $f^*\omega$  is exact.*

*Then the restriction of  $\omega$  to  $f(X) \subset \text{Alb}(X)$  does not vanish at a point  $y \in f(X)$  such that the fibre  $F := f^{-1}(y)$  is smooth with trivial normal bundle (the locus of such points  $y \in f(X)$  is Zariski dense in  $f(X)$ ).*

*Proof.* Assume that  $\omega$  vanishes at a point  $y \in f(X)$  such that the fibre  $F := f^{-1}(y)$  is smooth with trivial normal bundle. Then  $F \subset Z(f^*\omega)^{\text{red}}$  is a connected component. This contradicts Corollary 4.3, because

$$H^{\dim F}(F, \omega_X|_F) = H^{\dim F}(F, \omega_F) \neq 0,$$

by Serre duality, where we used that  $F$  has trivial normal bundle.  $\square$

**4.3. Item (2) of Theorem 1.4.** Let  $f : X \rightarrow A$  be a holomorphic map to a complex torus  $A$  and assume that there is a one-form  $\omega \in f^*H^0(A, \Omega_A^1)$  such that  $(H^*(A, \mathbb{C}), \wedge\omega)$  is exact. Since exactness is an open property,  $(H^*(A, \mathbb{C}), \wedge\omega')$  is exact for any general  $\omega' \in f^*H^0(A, \Omega_A^1)$ .

Let  $Y := f(X)$  and fix a general point  $y \in Y$ . There are countably many non-trivial linear subspaces

$$\{0\} \neq W_i \subset T_{A,y}$$

such that there is a morphism of complex tori  $\pi_i : A \rightarrow B_i$  with  $\ker((d\pi_i)_y) = W_i$ .

For a contradiction, we assume that  $Y$  is not fibred by tori. This implies that the tangent space  $T_{Y,y}$  does not contain any of the  $W_i$ . We may thus choose a one-form  $\omega' \in H^0(A, \Omega_A^1)$ , such that  $\omega'$  vanishes on  $T_{Y,y} \subset T_{A,y}$ , but which is non-trivial on each  $W_i$ . Let  $Z \subset Z(\omega')$  be an irreducible component which contains  $y$ . Then  $\omega'$  vanishes on  $Z$  and hence on the subtorus  $\langle Z \rangle \subset A$ , generated by  $Z$ . If  $Z$  was positive-dimensional, then  $T_{\langle Z \rangle, y} = W_i$  for some  $i$ , which contradicts the fact that  $\omega'$  does not vanish on  $W_i$ . Hence,  $Z$  is zero-dimensional and so  $y$  is an isolated zero of  $\omega'|_Y$ . But this implies that a small perturbation of  $\omega'|_Y$  has an isolated zero in some neighbourhood of  $y$ . Hence, a general one-form  $\omega \in H^0(A, \Omega_A^1)$  has the property that  $Z(\omega|_Y)$  contains a general point of  $Y$  as a connected component. This contradicts Corollary 4.4, which finishes the proof.

#### APPENDIX, WRITTEN JOINTLY WITH HSUEH-YUNG LIN

In this appendix we prove the following.

**Theorem A.1.** *Let  $X$  be a compact connected Kähler manifold. Assume that  $\omega \in H^0(X, \Omega_X^1)$  satisfies condition (C). Then  $\dim Z(\omega) \leq \dim X - 2$ .*

By Theorem 1.4, we also have  $1 \leq \dim Z(\omega)$ . If  $\dim X = 2$ , the above theorem thus implies  $Z(\omega) = \emptyset$ , which yields a new proof of Theorem 1.3, without using the Enriques-Kodaira classification.

We start with the following auxiliary result; the same argument appeared in the last two paragraphs in the proof of Theorem 1.3, as well as in [HS19, Proposition 6.4].

**Lemma A.2.** *Let  $X$  be a compact connected Kähler manifold with a morphism  $f : X \rightarrow E$  to an elliptic curve  $E$  with irreducible fibres. Assume that there is a one-form  $\alpha \in H^0(E, \Omega_E^1)$  such that  $\omega := f^*\alpha$  satisfies condition (C). Then  $f$  has reduced fibres.*

*Proof.* Let  $\Delta$  be the set of points  $t \in E$  such that  $f^{-1}(t)$  is a multiple fibre and let  $m_t$  be its multiplicity. This gives rise to an orbifold structure on  $E$ . Since  $E$  is an elliptic curve, this orbifold structure is good (see e.g. [CHK00, Corollary 2.29]) and so there is a finite cover  $C \rightarrow E$  which locally above each point of  $t \in \Delta$  is ramified of order  $m_t$ . A local computation shows that the normalization  $\tilde{X}$  of  $X \times_E C$  is étale over  $X$ , cf. [BHPV04,

Proposition III.9.1]. There is a natural map  $\tilde{f} : \tilde{X} \rightarrow C$  and our assumptions imply that there is a one-form  $\omega \in H^0(C, \Omega_C^1)$  such that  $(H^*(\tilde{X}, \mathbb{C}), \wedge \tilde{f}^* \omega)$  is exact. This implies  $g(C) = 1$  and so  $\Delta = \emptyset$ , as we want.  $\square$

*Proof of Theorem A.1.* Assume for the contrary that there is a prime divisor  $D \subset Z(\omega)$ . Let  $f : X \rightarrow A$  be a morphism to a complex torus such that  $\omega = f^* \alpha$  for some  $\alpha \in H^0(A, \Omega_A^1)$ , and assume that  $\dim A$  is minimal with that property.

Since  $\omega|_D = 0$ , we have  $\alpha|_{\langle f(D) \rangle} = 0$ , where  $\langle f(D) \rangle \subset A$  denotes the subtorus generated by  $f(D)$ . Hence,  $\omega$  is the pullback of a one-form from  $A/\langle f(D) \rangle$ . Minimality of  $\dim A$  thus shows that  $f(D)$  is a point. It then follows from [HS19, Lemma 2.4] that  $A$  is an elliptic curve. Moreover, up to replacing  $f$  by its Stein factorization, we may by [HS19, Corollary 2.5] assume that all fibres of  $f$  are irreducible. Hence,  $f$  has reduced fibres by Lemma A.2. Since  $A$  is an elliptic curve,  $Z(\omega)$  is contained in the singular locus of  $f$ , which has codimension at least two, because the fibres of  $f$  are reduced. This is a contradiction, which concludes the theorem.  $\square$

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